

JPW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 66638/42299	
In Re Application Of: Farr, et al.			
Serial No. 10/788,616	Filing Date February 27, 2004	Examiner Not yet assigned	Group Art Unit 2661
Title: Proxy Guardian Agent			
<p>Address to: Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 66638/42299	
In Re Application: Farr, et al.				
Serial No. 10/788,616	Filing Date February 27, 2004	Examiner Not yet assigned	Group Art Unit 2661	

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of _____ is attached.

☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0823 as described below. A duplicate copy of this sheet is enclosed.

☐ Charge the amount of _____

☒ Credit any overpayment.

☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*


I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate


Certificate of Mailing By First Class Mail

I certify that this document and fee is being deposited on June 2, 2004 with the U.S. Postal Service as First Class Mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


 Signature of Person Mailing Correspondence

Robert Villhard
Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

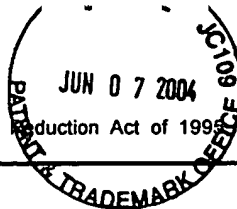

 Signature

Robert Villhard, Reg. No. 53,725
 Thompson Coburn LLP
 One US Bank Plaza
 St. Louis, Missouri 63101-9928
 314-552-6293
 314-552-7293 FAX

Dated: June 2, 2004

Customer No: 021888

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/788,616
				Filing Date	February 27, 2004
				First Named Inventor	Farr, Donald B.
				Art Unit	2661
				Examiner Name	Not yet assigned
Sheet	1	of	2	Attorney Docket Number	66638-42299

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 5,339,392	August 16, 1994	Risberg et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/788,616
				Filing Date	February 27, 2004
				First Named Inventor	Farr, Donald B.
				Group Art Unit	2661
				Examiner Name	Not yet assigned
Sheet	2	of	2	Attorney Docket Number	66638-42299

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	AB	JITC Global Information Grid Bandwidth Expansion OT&E Support; http://jitc.fhu.disa.mil/ot&e/gigbe.htm ; Revised June 26, 2002	
	AC	Boeing Strategic Architecture: FAQ; http://www.boeing.com/ids/stratarch/nc_faq.html ; undated	
	AD	C41 Technical Architecture – Information Standards; Section 1 – Information Standards; http://www.redstone.army.mil/documents/c4itecharch/section4.html ; undated	
	AE	IBM; Introduction to XML – What is XML?; http://www-106.ibm.com/developerworks/education/xmlintro/xmlintro-1-6.html ; undated	
	AF	Defense Information Systems Agency, Network Services, Global Information Grid; http://www.disa.mil/ns/gig.html ; updated February 24, 2003	
	AG	Defense Information Systems Agency, Center for Joint & Coalition Interoperability (IN3), Foreign Military Sales & Technical Support (IN32); http://in.disa.mil/in32.html ; updated September 24, 2003	
	AH	STASYS, Communications Networks Technology & Management; http://www.stasys.co.uk/networks_technology/link_16.html ; February 19, 2004	
	AI	DENNIS K. HOLSTEIN; Comm Architectures; Communication Architectures for Substation Automation; September 1999	
	AJ	XSD; http://searchwebservices.techtarget.com ; copyright 2001-2004, TechTarget	
	AK	Multilink Operations; What is Link 16?Multilink Operations; http://prodevweb.prodev.usna.edu/SeaNav/NS40x/NS401_old/introduction/html/intmltop.h... ; undated	
	AL	Oracle9i Application Developer's Guide – Fundamentals Release 2 (9.2), Part Number A96590-01; Using the Publish-Subscribe Model for Applications; http://saturn.uab.es/appdev.920/a96590/adg15pub.htm ; ©1996 ORACLE CORPORATION	
	AM	Network Middleware for Distributed Real-Time Applications; © February 2002 REAL-TIME INNOVATIONS, INC.	

Examiner Signature	Date Considered
--------------------	-----------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.